



SUMMARY

Senior English and New York qualified lawyer (with admission as *avocat* in France pending following successful Article 100 exam in March 2024) with broad experience as general counsel at a global brokerage firm, in-house experience at leading global banks, asset managers and French companies, private practice capital markets experience at leading global law firms, and freelance private practice experience in private equity fund formation and secured lending. Built and managed legal function for an expanding brokerage business with offices in ten jurisdictions. Transactional experience includes advising on private equity, capital markets (both debt and equity), M&A, broker-dealer, investment banking, asset management, lending, collateral management and custody. Extensive experience in regulatory and advisory compliance functions. Years of experience as a contractor with ability to work autonomously and efficiently in new client environments. Academic credentials include J.D. from the University of Pennsylvania and LL.M. in Banking and Finance Law from King's College, London.

PROFESSIONAL EXPERIENCE

TotalEnergies Global Procurement (via Desclèves & Associés), Paris Jan 2024 – Jun 2024

Consultant, led on creation of standard forms and negotiation of energy projects procurement contracts governed by English and French law

Freelance Lawyer, Paris Apr 2023 – Jan 2024

Freelance lawyer running cross-border private equity fund formation and secured lending transactions

Makor Group, London and Paris Apr 2019 – Apr 2023
(based in Paris from October 2020)

Group General Counsel, responsible for building and leading legal team and managing legal risk for global broker-dealer (including securities, FX, derivatives and cryptocurrency brokerage as well as investment banking activities and Series A fundraising) with offices in ten countries, including primary drafting responsibility for all English and New York legal agreements and management of external counsel for litigation and international matters

Deutsche Bank (via Thomson Reuters Legal Solutions), London Sep 2018 – Mar 2019

Consultant, senior negotiator on MiFID II documentation and Brexit repapering project transferring client relationships and assets to Frankfurt branch

Société Générale, London Oct 2017 – July 2018

Consultant, led drafting of regulatory business plan as part of bank's application for authorisation as a branch in UK as a result of Brexit

Deutsche Bank, London Jun 2015 – Sep 2017

Consultant, project and regulatory support lawyer (based in Compliance department) supporting Asset Management and Wealth Management businesses

BlackRock, London Sep 2013 – May 2014

Consultant, advised on investment management transactions and regulatory implementation

CAREER (CONTINUED)

JP Morgan , London	Jan 2010 – Dec 2012
Vice President, Assistant General Counsel, Worldwide Securities Services	
Barclays , London	Aug 2006 – Dec 2009
Legal Counsel, Group General Counsel's Office, provided US and English legal advice relating to debt and equity securities issuances and securitisations by the Barclays Group and including six-month secondment to Barclays Global Investors	
Dewey Ballantine , London	Sep 2005 – Jul 2006
Associate, Capital Markets	
Freshfields Bruckhaus Deringer , London	Feb 2001 – Sep 2005
Associate, US Securities Group Including extensive work with Frankfurt office	
White & Case , New York and Helsinki	Sep 1999 – Jan 2001
Associate, Corporate Department	

QUALIFICATIONS AND EDUCATION

Admitted as Attorney in State of New York	Oct 2000
Admitted as Solicitor in England and Wales	Nov 2004
Admitted as Solicitor in Republic of Ireland	Oct 2016
France, passed March 2024 Article 100 exam for admission as <i>avocat</i>	Admission pending
King's College, London LL.M. in Banking and Finance Law (with merit)	2004 – 2005
University of Pennsylvania (Carey) Law School, Philadelphia J.D. (Associate Editor, <i>University of Pennsylvania Journal of Labor and Employment Law</i>)	1996 – 1999
Grinnell College, Grinnell, Iowa B.A. in French and Political Science (degree granted with honours, Phi Beta Kappa)	1991 – 1995

ADDITIONAL INFORMATION

Councillor, Royal Borough of Kensington and Chelsea (London): Elected representative for Notting Barns ward 2010 – 2014. Duties included serving ward residents through casework, serving on Cabinet and Corporate Services and Audit scrutiny committees, as primary school governor and as trustee for Kensington and Chelsea Citizens Advice Bureau

Adjunct Professor of Government, Centre-in-London Programme of Centre College: created and taught course on British politics to visiting undergraduate student from United States, Spring semesters 2007 – 2014.

Thomas J. Watson Fellow: Spent year between college and law school as Watson Fellow studying and working in political parties in New Zealand, Australia and the United Kingdom, 1995 – 1996

Languages: English (native), French (fluent), German (proficient), Spanish (basic)

Citizenships: British and USA (with unconditional right to live and work in France)

KEY SKILLS

Contractual drafting and negotiation in London and Paris: Led on revision of English and French law standard form procurement contracts at TotalEnergies. Negotiated energy procurement contracts governed by English and French law at TotalEnergies. Primary drafting responsibility for all English and New York law contracts at Makor Group with minimal reliance on external counsel. At BlackRock, led English law negotiations of investment management agreements with clients in Mexico, Spain and Italy. At JP Morgan, led on English and New York law negotiations of agreements relating to securities and derivatives collateral management, custody and depository receipts. At Barclays, led on documentation of debt securities offerings and synthetic securitisation.

General Counsel experience in London and Paris: First in-house lawyer for Makor Group, reporting directly to the Group's Board of Directors. Took primary responsibility for drafting all English and New York law contracts to reduce external legal spend and better manage Group's legal risk, including brokerage, capital markets, M&A, lending, non-disclosure, employment and real estate agreements. Instructed outside counsel on litigation and international matters. Managed paralegal and trainee solicitor.

Management of Makor Group M&A and fundraising: Independently managed New York and English law M&A transactions, including conduct of due diligence and drafting of sale and purchase agreements. Managed external counsel in cross-border M&A transactions and Series A fundraising.

Regulatory and advisory compliance experience: Advised Makor Group compliance function on regulatory and legal issues. As contractor based in compliance function at Deutsche Bank, advised on compliance with new requirements under MiFID II, MAR, UCITS V and PSD II. Managed compliance aspects of initial public offering of Deutsche Asset Management. Managed and evaluated work by compliance officers globally as part of Deutsche Asset Management's annual compliance assessment of business processes and conducted primary annual assessment for global trading unit. Represented Société Générale in meetings with regulators as part of branch authorisation application. Represented Deutsche Bank at asset management industry body meetings.

In-house experience across the financial services industry: Over a decade's experience working in legal and compliance departments in leading financial services institutions (both as permanent staff and as a fixed-term contractor). Transactional experience in capital markets, brokerage (securities, derivatives, FX and cryptocurrency), investment banking, M&A (public and private), lending (secured and unsecured), asset management, custody and collateral management. Regulatory implementation experience in banking, asset management and wealth management. Compliance experience in asset management and wealth management.

Regulatory implementation experience: Acted as senior negotiator for contractual amendments required by Brexit and for MiFID II terms of business at Deutsche Bank. Led on creation of regulatory business plan and advised on all aspects of branch authorisation application as part of Brexit preparation by Société Générale. Led on project to negotiate contractual updates across major investment management agreements necessitated by AIFMD at BlackRock.

Employment law: Drafted and negotiated all New York and English employment contracts and service agreements for Makor Group. Advised on HR practice in New York and UK. Instructed external counsel on employment matters in other jurisdictions, including Australia, Bermuda, France, Israel, Monaco, Singapore, Spain and Switzerland. Instructed external counsel in contentious employment matters, including in litigation and mediation.

Training delivery: Created and delivered interactive training to portfolio managers, trading desks and compliance personnel on market abuse rules at Deutsche Asset Management. Adept at explaining practical impact of law and regulation to non-lawyers. Training delivery skills enhanced by experience as university lecturer. Public speaking skills enhanced by experience as elected Councillor.